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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	03528 0050 CNUS01
First Inventor	Jürgen Kleinschmidt
Title	Method for Purifying and Concentrating AAV-2 And Antigen Portions Thereof
Express Mail	EL615208958US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

- 1 ☒ Fee Transmittal Form (PTC/SB/17)
(Submit an original, and a duplicate for fee processing)
- 2 ☒ Applicant claims small entity status
See 37 CFR 1.27
- 3 ☒ Specification [Total Pages 9]
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross reference to Related Applications
-Statement Regarding Fed Sponsored R & D
-Reference to sequence listing, a table,
or a computer program listing appendix
-Background of the Invention
-Brief Summary of the Invention
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
- 4 ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- 5 ☒ Oath or Declaration [Total Pages 3]
a ☐ Newly executed (original or copy)
b ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuational divisional with Box 17 completed;
c ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
- 6 ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO:

Commissioner for Patents

Box Patent Application
Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
- 8 ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary):
a ☐ Computer Readable Form (CRF)
b Specification Sequence Listing on:
i ☐ CD-ROM or CD-R (2 copies), or
ii ☐ paper
c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment papers (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information disclosure ☐ Copies of IDS
Statement (IDS/PTO-1449 Citations)
- 13 ☒ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☒ Other: Preliminary Amendment, Clean Version of Amended
Paragraphs; Clean Version of all Pending Claims.....

17 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/508,037, filed June 23, 2000

Prior application information Examiner U. Winkler Group I Art Unit 1643

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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NAME (Print/Type)	Albert P. Halluin, Viola T. Kung	Registration No. (Attorney/Agent)	25,227, 41,131
Signature		Date	June 19, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Jürgen H. Leinschmidt
Examiner Name	Not Yet Assigned
Group Art Unit	1648
Attorney Docket No	03528.0050 CNUS01

TOTAL AMOUNT OF PAYMENT (\$935.00)

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Acct No 08-3038 Deposit Account Name Howrey Simon Arnold & White, LLP <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee</th> <th>Entity Fee</th> <th>Small Fee</th> <th>Entity Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>140</td><td>205</td><td>55</td><td>Substantive - late filing fee or path</td><td></td></tr> <tr><td>127</td><td>50</td><td>27</td><td>15</td><td>Substantive - late provisional filing fee or overpayment</td><td></td></tr> <tr><td>139</td><td>140</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for expedited examination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examination</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examination</td><td></td></tr> <tr><td>115</td><td>110</td><td>115</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>190</td><td>116</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>117</td><td>445</td><td>Extension for reply within third month</td><td>445.00</td></tr> <tr><td>118</td><td>1,390</td><td>118</td><td>855</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,390</td><td>128</td><td>845</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>119</td><td>55</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>120</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>210</td><td>121</td><td>35</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to terminate a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>140</td><td>55</td><td>Petition to terminate a non-patentable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>141</td><td>620</td><td>Petition to terminate an international</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>142</td><td>620</td><td>Utility issue fee (or reissue fee)</td><td></td></tr> <tr><td>143</td><td>440</td><td>143</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>690</td><td>144</td><td>350</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions relating to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>110</td><td>146</td><td>35.5</td><td>Filing a submission after final rejection (37 CFR § 1.119a)</td><td></td></tr> <tr><td>149</td><td>110</td><td>149</td><td>35.5</td><td>For each additional invention to be examined (37 CFR § 1.123(b))</td><td></td></tr> <tr><td>179</td><td>110</td><td>179</td><td>35.5</td><td>Request for continued examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid	105	140	205	55	Substantive - 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*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Albert P. Hallum, Viola T. Kung	Registration No (Attorney/Agent)	25,227, 41,131
Signature	<i>[Signature]</i>	Telephone	650-463-8109
		Date	June 19, 2001

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